Predicting Fact Contributions from Query Logs with Machine Learning

Dana Arad
Tel Aviv University
danaaradl@mail.tau.ac.il

Daniel Deutch
Tel Aviv University
danielde@post.tau.ac.il

Nave Frost
eBay Research
nafrost@eBay.com

ABSTRACT
A recent line of work has proposed to quantify the contribution of database tuples to query answers using Shapley values, a game theoretic function that has been extensively used as means of attribution in other areas, notably Machine Learning.

In this paper we analyze and evaluate LearnShapley, a solution that employs Machine Learning to rank input facts based on their estimated (Shapley-based) contribution to query answers. LearnShapley is trained on a corpus of SPJU queries, their output and the Shapley values of each input tuple with respect to each output tuple. At inference time, LearnShapley is given a new SPJU query over the same database schema, an output tuple of interest, and its lineage (i.e. the set of all facts that have contributed in some way to the generation of the tuple). Our experiments evaluate to what extent LearnShapley is able to leverage similarity measures applied to the query in hand and the queries stored in the repository, to compute a ranking of the facts in the lineage based on their contribution. Overall, our experiments indicate that a log of past queries, output tuples and their Shapley values include a reasonably relevant signal for predicting the ranking of facts contributions for a new SPJU query over the same database. Both DBShap and our code are publicly available, and may serve for further investigation of Machine Learning approaches for explainability in databases.

1 INTRODUCTION
Explaining query answers has been extensively studied in recent years, following many different approaches [1, 14, 21, 29, 39, 40]. A notable such approach is to quantify the contribution of each input database fact to each tuple in the query result. Here again, many different contribution measures have been proposed [29, 31, 33, 40]. In particular, [30] and subsequent works [15, 37], have advocated for the use of Shapley values [42], a game-theoretic function for distributing wealth in a cooperative game. Shapley values have strong theoretical justifications, have been extensively used in Game Theory and have been adopted as a contribution measure in a variety of areas, notably explaining the predictions of Machine Learning classifiers.

Example 1.1. Our running example focuses on a database of movies, an instance of which is shown in Figure 1. Consider the query $q_{dual}$ in Figure 2a, looking for movies released in 2007 and produced by American production companies. For a given tuple in the query result, many different database facts contribute in some way to its derivation. For $q_{dual}$ and the output tuple Alice, one such derivation involves $x_1$ (the tuple representing Alice), $r_1$ (the role of Alice in Superman), $m_1$ (Superman was produced in 2007 by Universal) and $c_1$ (Universal is an American company).

Other derivations exist as well, involving information on other movies, production companies and roles.

The set of all facts appearing in at least one derivation is called the tuple’s lineage. The lineage may contain many database facts, and may be hard to interpret. In particular, some facts contribute more than others to the derivation of the output tuple. In our example, Universal is more influential than Warner since Alice participated in two movies produced by Universal and only in one produced by Warner. Measuring the contribution is far more complex in general, and the measure of Shapley values has been shown to be a good fit for quantifying the contribution in query answering [30]. For this example, the Shapley values of all facts in the companies table with respect to each of the query’s results are presented as heat-maps in Figure 2d.

Shapley values can help us gain insights on the importance of facts with respect to tuples in the query result, yet computing Shapley values for query answering is intractable in general (specifically, it is PP$^P$-hard [30] in data complexity). Existing solutions [15] rely on detailed query provenance, captured by boolean expressions which are further processed into different forms. Storing such detailed form of provenance for every query and every output tuple typically entails a non-negligible overhead, despite significant advancements in provenance tracking such as [1, 2, 41]. The solution in [15] is confined to Select-Project-Join-Unnest (SPJU) queries, and while the complexity of computing Shapley values has been studied for other fragments of SQL such as negation and aggregation, there are, to our knowledge, no available implementation for SQL fragments beyond SPJU. See Section 6 for a detailed overview of previous solutions for Shapley values computation.

In this experiments and analysis paper we ask whether a Machine Learning approach, specifically LearnShapley that was first...
Figure 2: Inference query along with two queries from the historic query log, and the Shapley values of production companies facts with respect to all output tuples. Differences between the queries are color-highlighted.

Example 1.2. Assume an historic query log that consists of queries $q_1$ and $q_2$, depicted in Figure 2b and 2c. $q_1$ outputs titles of movies released in 2007, produced by American production companies, in which Alice played a role. $q_2$ returns the names of actors over 30 years old, that played a role in a movie produced by a American production company. Syntactic similarities and differences to the query of interest ($q_{inf}$) are presented in Figure 2a.

The results of $q_1$ are the movies Superman, Spiderman and Aquaman, and the results of $q_2$ are the actors Alice and Carol. Figure 2e and 2f depict the Shapley values of facts from the companies table with respect to each of the queries results.

The historic query log along with the historic Shapley values can aid in providing insights on the influential facts for new queries. For instance, based on the Shapley values of $q_1$, we observe that the production company associated with $c_1$ contributed significantly to two of the movies that Alice participated in; the fact $c_2$ also contributed to one of the query results (Spiderman), whereas $c_3$ had no contribution to any result. In addition, based on the Shapley values of $q_2$, we can deduce that the production companies associated with facts $c_1$ and $c_2$ are influential for Alice, while $c_3$ is influential for Carol. Going back to the $q_{inf}$, based on the insights learned from $q_2$, we may predict that Universal ($c_1$) and Warner ($c_2$) are dominant production companies with respect to Alice. Furthermore, based on the insights obtained from $q_1$, one may learn that $c_1$ has a higher contribution than $c_2$.

Experiments. We then conduct an extensive experimental study, examining the performance of LearnShapley as well as different ablations thereof with respect to the DBShap benchmark. We compare the rankings that LearnShapley outputs with the gold ranking that uses the actual Shapley values, using standard measures of comparing ranked lists (nCDG and precision at k).

We show that LearnShapley consistently achieves good results with respect to both metrics. Training a model incurs the (offline) overhead of several days for the databases in DBShap, whereas LearnShapley has access only to the query lineage.

Pre-training Objectives. Many Machine Learning approaches follow the pre-training / fine-tuning paradigm, in which the model is trained on intermediate tasks in order to learn fundamental concepts that will later improve the performance on the end task. In order to obtain signals from historic queries, one should identify query characteristics that are similar between a new unseen query and the historic queries. To this end, we define several pre-training objectives, based on three key notions of query similarity: syntactic similarity, the similarity of the queries’ results. For the latter, we define a novel metric for rank-based similarity that captures how similar are the contributions of facts to the queries’ output tuples.

Dataset Used in Training, Experiments and Analysis. Training and experiments are carried over a repository of SPJU queries (Select, Project, Join, Union), answers and their real Shapley values (called DBShap), which consists of two databases, IMDB and Academic, a total of 293 SPJU queries having a total of one million SQL fragments. This means that for fragments beyond SPJU there is no training data available for learning, and also no baselines to test the results against.

introduced in a demonstration paper [5] (including no experiments or analysis), can serve as an alternative to the algorithmic approach, in the context of Shapley values for SPJU Query Answering. The goal of LearnShapley is, given a SPJU query and the lineage of its output fact, to rank facts appearing in the lineage based on their (hidden) contribution. Thereby, through an investment in training time (see below), LearnShapley circumvents the computational overhead at inference time incurred by the previously proposed exact computation algorithm, both in the sense that it relies on a simpler input (lineage vs. provenance) and in the sense that inference itself is significantly faster. The main question that we ask here, is to what extent does the predicted ranking match the gold ranking which is based on the real Shapley values. The reason for our focus on SPJU is that, as explained above, there is no existing tractable implementation for other SQL fragments. This means that for fragments beyond SPJU there is no training data available for learning, and also no baselines to test the results against.
boolean provenance). We conclude that overall, once the model is deployed, it constitutes a fast solution for real-time ranking of facts contribution in query answering.

**Limitations of LearnShapley.** LearnShapley is a system for in-domain learning, namely the queries used in training and the queries used in testing/deployment should be on the same database schema. The system aims at picking up signals from the contribution of facts to past queries, generalizing to predict their contribution to new queries. We analyze how well LearnShapley performs this generalization. We further investigate: what about facts that were not seen at training time? Can LearnShapley reasonably predict their relative order of contribution? Our results indicate that some signal exists and is utilized in this respect, yet there is a significant room for improvement, calling for further research in this respect. Such research could proceed in two directions: improving the prediction quality for unseen facts for the given schema, and generalization to a new schema. In terms of expected input, the model expects the lineage as input at inference time. This constitutes a significant step forward compared to previous work [15] requiring full detailed provenance, yet it would be desirable to further generalize LearnShapley so that even the lineage is not needed. The challenge here is that LearnShapley is currently trained on positive samples, i.e., facts with non-zero Shapley value. Thus, the system is not able to accurately differentiate between contributing and non-contributing facts, which is essential for predicting the ranking of any arbitrary set of facts from the database.

The structure of this paper is as follows. We start by recalling the necessary preliminaries in Section 2. In Section 3, we recall the problem of learning to rank facts contribution in query answering, and the components of LearnShapley. In Section 4 we provide statistics and examples on the training and test data, and in Section 5 we detail the design and results of our experimental study. We overview related work in Section 6 and conclude in Section 7.

## 2 PRELIMINARIES

We next recall the necessary preliminaries on relational databases, lineage and provenance, Shapley values computation [15], query similarities [6] and [5], and present extended versions for examples from [5].

### 2.1 Relational Databases, Lineage and Provenance

We follow the convention in [30] and subsequent works on Shapley values, and use the term facts to refer to tuples from the input database (whose contributions we wish to assess), and the term tuples to refer to tuples in the query answer (with respect to which the contribution is made). Then, a relation $R = \{r_1, \ldots, r_k\}$ is a finite set of facts, and a database $D = \cup_{i \in J} R_i$ is a disjoint union of a finite set of relations. A query $q$ is a function that takes as input a database $D$ and a outputs a set of tuples, i.e., $q(D) = \{t_1, \ldots, t_l\}$. To explain query results, we associate database facts with unique annotations, serving as their identifiers. Two commonly used forms of explanations are then lineage and provenance, which vary in their granularity. Lineage only shows the facts that were used in the evaluation of the query and contributed to the inclusion of $t$ in $q(D)$, while provenance also reveals the relationships between those contributing facts. Formally, for a database $D$, query $q$, and output tuple $t$, the provenance of $(q, t)$ on $D$, denoted $\text{Prov}(D, q, t)$, is a Boolean function that represents the dependence of tuple $t$ on the input facts of $D$.

Intuitively, $\text{Prov}(D, q, t)$ captures the conditions under which $t$ appears in the query result. The lineage of $(q, t)$ on $D$, denoted $\text{Lineage}(D, q, t)$, is the set of variables in $\text{Prov}(D, q, t)$. There are existing methods for capturing both the provenance and lineage of $(q, t)$ on $D$ during the evaluation of $q(D)$ [2, 16, 41]. Since lineage does not require capturing the dependencies between different facts, its capture tends to be more efficient. For example, in [17] the authors show that provenance may be significantly compressed when equating some variables, which is lower-bounded by the lineage size.

**Example 2.1.** Recall the database $D$ depicted in Figure 1 and note now the annotations that are attached to facts (the annotations are $r_i$ for $i = 1, \ldots, 9$, $m_i$ for $i = 1, \ldots, 5$, $c_i$ for $i = 1, \ldots, 4$ and $a_i$ for $i = 1, \ldots, 4$). The query $q_{inf}$ depicted in Figure 2a. For output tuple Alice the provenance is:

$$\text{Prov}(D, q_{inf}; \text{Alice}) = (a_1 \land m_1 \land c_1 \land r_1) \lor (a_1 \land m_2 \land c_1 \land r_2) \lor (a_1 \land m_3 \land c_2 \land r_3).$$

**Lineage(D, q_{inf}; \text{Alice}) = \{a_1, m_1, m_2, m_3, c_1, c_2, r_1, r_2, r_3\}.

### 2.2 Shapley values in query answering

The Shapley value [42], a game-theoretic measure of wealth distribution with strong theoretical foundations [38], has recently been suggested as a method for evaluating the contribution of input facts to query answers [30]. Let $q$ be a query, $t \in q(D)$ be an output tuple, and $f \in D$ be a fact. Denote $q_t(D)$ as a Boolean function returning 1 if and only if $t \in q(D)$. The Shapley value of $f$ in $D$ for query $(q, t)$, denoted Shapley$(D, q, t, f)$, is defined as:

$$\text{Shapley}(D, q, t, f) \overset{\text{def}}{=} \sum_{E \subseteq D \setminus \{f\}} \frac{|E|!(|D| - |E| - 1)!}{|D|!} \left( q_t(E) - q_t(E \setminus \{f\}) \right).$$

Intuitively, the value Shapley$(D, q, t, f)$ represents the contribution of $f$ to the query’s output $t$.

**Example 2.2.** Recall that Example 1.1 explained intuitively why $\text{Shapley}(D, q_{inf}; \text{Alice}, c_1) > \text{Shapley}(D, q_{inf}; \text{Alice}, c_2).

Next, we will exemplify it more formally. Recall the provenance of Alice from Example 2.1. For simplicity we will ignore facts in $D$ that are not part of Lineage$(D, q_{inf}; \text{Alice})$, as they have no contribution to the result. To calculate Shapley$(D, q_{inf}; \text{Alice}, c_2)$, we need to consider all $E \subseteq D \setminus \{c_2\}$ such that $q_t(E \cup \{c_2\}) - q_t(E) \neq 0$. This requires that $(a_1 \land m_3, r_3) \subseteq E$ and neither the clauses $(a_1 \land m_1 \land c_1 \land r_1)$ and $(a_1 \land m_2 \land c_1 \land r_2)$ are satisfied. In total, there is one such set of size 3 (\{a_1, m_3, r_3\}, 5 sets of size 4 (e.g., \{a_1, m_3, c_1, r_3\}), 10 sets of size 5 (e.g., \{a_1, m_1, m_3, c_1, r_3\}), 8 sets of size 6 (e.g., \{a_1, m_1, m_2, m_3, c_1, r_3\}), and a single set of size 7 \{a_1, m_1, m_2, m_3, r_1, r_2, r_3\}). Thus,

$$\text{Shapley}(D, q_{inf}; \text{Alice}, c_2) = \frac{3! \cdot 5!}{9!} + \frac{5! \cdot 4!}{9!} + \frac{10 \cdot 5! \cdot 3!}{9!} + \frac{8 \cdot 6! \cdot 2!}{9!} + \frac{7! \cdot 1!}{9!} = \frac{19}{252} \approx 0.075.$$
Similarly, left for the reader, it can be shown that

\[
\text{Shapley}(D, q_{inf}, Alice, c_1) = 2 \cdot \frac{3! \cdot 5!}{9!} + 10 \cdot \frac{4! \cdot 4!}{9!} + 19 \cdot \frac{5! \cdot 3!}{9!} + 15 \cdot \frac{6! \cdot 2!}{9!} + 3 \cdot \frac{7! \cdot 1!}{9!} = \frac{10}{63} \approx 0.158.
\]

It is important to note that calculating the Shapley value for most queries is computationally intractable [30]. However, a recent study [15] has demonstrated an effective approach for computing Shapley values that relies on query provenance. This solution shows that, if provenance can be transformed into a specific circuit form, Shapley values can be computed in polynomial time. However, it is important to note that the approach in [15] may introduce a significant overhead over the native query computation. Capturing query provenance, which is required by this method, may introduce a significant overhead, and the obtained boolean formula must also be processed in an additional time-consuming step. In this work, we use the solution in [15] in an offline manner over a query log to generate training data for our model. During inference, we provide predictions on the ordering of influential facts without the need to capture provenance at all.

2.3 Query Similarity Metrics

To predict the contribution of facts to the results of a given target query, LearnShapley relies on training data that includes Shapley values with respect to the answers of queries from a historic query log. To learn from these past queries, we need first to assess the similarity of these queries to the target query. Many similarity metrics have been proposed for computing query similarity, the quality of which heavily depends on the exact task, database schema and other factors. We utilize two existing notions of query similarity - syntax-based and witness based.

Syntax-Based Similarity: We follow [24] which defined the similarity of two queries using set similarity. A query \( q \) is represented as a set of operations, namely \( \text{operations}(q) = \{o_1, o_2, ..., o_p\} \) where \( o_i \) is either a projection, a selection or a join:

- A projection operation \( \Pi_{R,C} \) is defined by the relation \( R \) and column \( C \) that the data is projected onto.
- A selection operation \( \sigma_{C, \phi} \) is defined by a relation \( R \), a column \( C \), and a Boolean condition \( \phi \) that is applied on the relation column.
- An equi-join operation \( \bowtie_{R_1.C_1=R_2.C_2} \) is defined by two pairs of relations and columns, \( R_1, C_1 \) and \( R_2, C_2 \) that are compared.

Two operations are equal if they are of the same type and have the same features.

Finally, \( \text{sim}_s(q, q') \) is the set similarity of \( q \) and \( q' \) using Jaccard similarity:

\[
\text{sim}_s(q, q') = \frac{|\text{operations}(q) \cap \text{operations}(q')|}{|\text{operations}(q) \cup \text{operations}(q')|}.
\]

Example 2.3. We wish to calculate the syntax-based similarity of the inference query \( q_{inf} \) depicted in Figure 2a and \( q_1 \) depicted in Figure 2b. The queries differentiate in their projection operations, \( \Pi_{\text{actors}.name} \) and \( \Pi_{\text{movie}.title} \), and \( q_1 \) contains an additional selection operation \( \sigma_{\text{actors}.name='Alice'} \), as highlighted in Figure 2. Using operation set representation:

\[
\begin{align*}
\text{operations}(q_{inf}) &= \{\Pi_{\text{actors}.name}, \bowtie_{\text{actors}.name=\text{roles}.actor}, \sigma_{\text{movies}.company=\text{companies}.name}, \\
&\quad \sigma_{\text{companies.country}='USA', \text{movies.year}=2007}\} \\
\text{operations}(q_1) &= \{\Pi_{\text{movie}.title}, \bowtie_{\text{movie}.title=\text{roles}.movie}, \sigma_{\text{actors}.name=\text{roles}.actor}, \sigma_{\text{movies}.company=\text{companies}.name}, \\
&\quad \sigma_{\text{companies.country}='USA', \text{movies.year}=2007}, \sigma_{\text{actors}.name='Alice'}\} \\
\end{align*}
\]

The similarity of the queries is the Jaccard similarity of the operation sets:

\[
\text{sim}_s(q_{inf}, q_1) = \frac{|\text{operations}(q_{inf}) \cap \text{operations}(q_1)|}{|\text{operations}(q_{inf}) \cup \text{operations}(q_1)|} = \frac{5}{8}
\]

Witness-Based Similarity: Another similarity metric can be defined using the notion of witnesses [6], where \( \text{witnesses}(q) = q(D) \). The similarity between two queries \( q \) and \( q' \) is computed as the set similarity of the witnesses sets, using Jaccard similarity:

\[
\text{sim}_w(q, q') = \frac{|\text{witnesses}(q) \cap \text{witnesses}(q')|}{|\text{witnesses}(q) \cup \text{witnesses}(q')|}.
\]

Example 2.4. Now, we wish to calculate the witness-based similarity of queries \( q_{inf} \) and \( q_1 \). However, since the queries have different projection operations, they do not share any witnesses and \( \text{sim}_w(q_{inf}, q_1) = 0 \). Instead, we calculate the witness-based similarity metric on \( q_{inf} \) and \( q_2 \) depicted in Figure 2c, which equals \( \frac{1}{4} \).

\[
\text{sim}_w(q_{inf}, q_2) = \frac{|\text{witnesses}(q_{inf}) \cap \text{witnesses}(q_2)|}{|\text{witnesses}(q_{inf}) \cup \text{witnesses}(q_2)|} = \frac{1}{4}
\]

2.4 Language Models

Modern day language models follow the pre-training/fine-tuning paradigm, and pre-trained language models have achieved notable success on various natural language tasks. Recent lines of work have also demonstrated how these pre-trained models can be applied to other fields, and specifically to database-related tasks [13, 22, 47]. During the pre-training stage, a model is trained on auxiliary tasks that help the model learn meaningful representations of the input. In this work, we employ BERT [18] and utilize the contextualize representations learned during pre-training, which after further fine-tuning enables the model to comprehend semantic and syntactic aspects of queries and database structure.

The input for BERT consists of two sentences, \( s_1 \) and \( s_2 \) separated by a special token [SEP]. Another special token, [CLS], is added before the first sentence. BERT is jointly pre-trained on two objectives: (1) masked language modeling, where the model has to reconstruct masked tokens from the input, and (2) next sentence prediction, where the model predicts whether \( s_2 \) is entailed from \( s_1 \). The output of the final hidden state corresponding to the [CLS] token is used as the representation of the full input sequence, and can be passed to subsequent classification and regression tasks, as in next-sentence prediction. Different pre-training objectives can affect the performance of the model on downstream tasks, and thus should be constructed to assist the model in learning meaningful signals [13, 26]. In this work we construct three pre-training objectives based on different notions of query similarity, that help the model learn meaningful representations, as demonstrated in Section 5.5.

3 LEARNSHAPLEY

This section recaps (and extends, where needed) the description of LearnShapley from [5], serving as further preliminaries for
SELECT DISTINCT actors.name
FROM movies, actors, companies, roles
WHERE movies.title = roles.movie AND
actors.name = roles.actor AND
movies.company = companies.name AND
companies.country = "USA" AND
movies.year = 2007

(a) query q3,

Figure 3: q3, a variation of qnat with a different projection clause. Although the results of q3 and qnat contain different output tuples, their computation and contributing facts are identical. These signals are captured by the rank-based similarity.

3.1 Problem Definition

Given a database D, a query log Q is a set of quartets \((q, t, f, o)\) where \(q\) is a query, \(t\) is an output tuple of \(q\) (i.e. \(t \in q(D)\)), \(f \in D\) is a fact, and \(o = \text{Shapley}(D, q, t, f)\). Our goal is to train a model on \(Q\) so that given a new query \(q \notin Q\), an output tuple \(t \in q(D)\), and the lineage Lineage\((q, D)\), output a ranked list of the facts in Lineage\((q, D)\), whose ranking is similar as possible to their ranking according to the (hidden) Shapley values with respect to \(t\).

For example, recall Example 2.1: for the query qnat and output tuple Alice. The Shapley values of some facts in the lineage \((D, qnat, Alice)\) are shown in Example 2.2. Our goal is to predict a ranking of all such facts, which will be close (as defined in Section 5.2) to their ranking according to their actual Shapley values.

The solution focuses on in-domain learning, meaning that the train, dev and test queries are over the same database. This corresponds to a common scenario in which a DBA has a log of past queries and needs to handle new queries over the same database. We also focus on a setting where the lineage (but not the detailed provenance) is given. Other settings are left for future work and briefly discussed in Section 7.

3.2 Query Similarity

Learning to predict query similarity can help our model identify latent aspects in the query, and better associate new queries with the signals from the query log. While syntax-based and witness-based similarities capture meaningful aspects of query similarity, they do not capture signals regarding the reasoning, i.e. contributing facts, that led to the appearance of tuples in the query’s results. For example, equivalent queries will have perfect witness-based but may have a low syntax-based score. Moreover, queries that are almost identical, except for the projection operation, will have a witness-based score of 0, but may still provide signals since their computation is almost identical. We define a novel query similarity metric, rank-based similarity, in order to capture this different and complementing notion of similarity.

Rank-Based Similarity: We present a novel method of evaluating query similarity that is based on the ranking of facts’ Shapley values with respect to output tuples. This is rooted in the observation that two output tuples sets may be very different, yet still informative for each other. The Shapley values, and thus the ranking, are available at training time, and the idea is to use this information to have the model learn which queries yield similar ranking of facts. Then, at inference time (where the ranking is of course not available), the learned similarity of queries with this respect can help the model focus on inferring a ranking based on the most relevant queries.

Example 3.1. Figure 3 shows q3, which outputs the ages of actors that played in movies released in 2007 and produced by American production companies. qq is similar to qnat (shown in Figure 2a) in a way that witness-based and syntax-based similarity fail to capture: Since qq and qnat have different projection clauses, their witness-based similarity is 0. Their syntax-based similarity is high, \(\frac{5}{7}\), as only one operation is changed. However, this is also the case if we examine qnat and a variation of qnat with a movie.year = 2007, for which the computational reasoning is completely different. q3 and qnat share the same computation process up until the projection clause, meaning they share information on the provenance of each output tuple, and the ranking of the facts that contributed to the appearance of these tuples in the result. When comparing qnat and q3, the facts that contributed to the appearance of 45 in q3 are identical to that of Alice in qnat, and again for 23 and 38 with respect to Bob and David.

Since queries can be similar in their computation process, but have different projection clause, the first task is aligning the output tuples sets based on similarity of their contributing facts rankings:

Given two queries \(q\) and \(q'\), we define the complete bipartite graph, \(G = (q(D) \cup q'(D), E)\), where \(q(D) = \{t_1, \ldots, t_n\}\) \(q'(D) = \{t'_1, \ldots, t'_m\}\) are the outputs of queries \(q\) and \(q'\) over \(D\). Let us denote by rank\(_t\)\((D, q)\) the ranking of all facts \(f \in D\), ranked by their Shapley values with respect to the output tuple \(t\) of query \(q\). We define a weight function \(w : E \rightarrow [0, 1]\) as

\[
\omega(t_i, t'_j) = 1 - K_q(\text{rank}_t(D, q), \text{rank}_{t'_j}(D, q')).
\]

Where \(K_q\) is the normalized Kendall tau distance. We proceed to find a maximum weighted matching of \((G, w)\), denoted as \(M\), which can be computed efficiently using the Hungarian algorithm [23]. The similarity of \(q\) and \(q'\) is then defined as

\[
\text{sim}_w(q, q') = \frac{\sum_{e \in M} w(e)}{|q(D)| + |q'(D)| - |M|}.
\]

We find an alignment of the queries’ results, such that each matched pair has the most similar facts ranking. The closer the similarity is to one, the greater the size of the matching and the quality of each individual match altogether.

Example 3.2. We will demonstrate the computation of the rank-based similarity metric for queries qnat and q3. First, we construct the complete bipartite graph where the nodes in each side consists of the output tuples of each query qnat and q3, as can be seen in Figure 5. For brevity we will use the facts ranking of companies table. In practice, we use all facts in the union of the lineages of all output tuples from both queries. We proceed

Figure 5: A maximum weighted matching on \(G = (qnat(D) \cup q3(D), E)\). Facts ranking presented besides the nodes.

\[\{(c_1, c_1, c_1)\}\rightarrow Alice\rightarrow 45\{(c_1, c_1, c_1)\}\]

\[\{(c_1, c_1, c_1)\} \rightarrow Bob\rightarrow 30\{(c_1, c_1, c_1)\} \]

\[\{(c_1, c_1, c_1)\} \rightarrow David\rightarrow 23\{(c_1, c_1, c_1)\} \]
LearnShapley's system architecture. During pre-training (a), LearnShapley takes two queries as input, and outputs the syntax, witness and rank-based similarity scores. Then, LearnShapley is fine-tuned (b) for Shapley value prediction, which will be used to rank the contributing facts with respect to a specific query and output tuple.

**Pre-Training:** We define our pre-training objective as follows: we create three signals based on the similarities defined in Section 3.2: rank-based similarity denoted as $\text{sim}_r$, witness-based similarity denoted as $\text{sim}_w$, and syntax-based similarity denoted as $\text{sim}_s$. Given two queries $q$ and $q'$, and their tokenized representation $q = q_1, \ldots, q_k$ and $q' = q'_1, \ldots, q'_l$, the input for the model is the sequence of tokens

$$[\text{CLS}], q_1, \ldots, q_k, [\text{SEP}], q'_1, \ldots, q'_l.$$  

Where $[\text{CLS}]$ and $[\text{SEP}]$ tokens are the special tokens described by [18]. BERT returns a sequence of contextualized vectors

$$v_{[\text{CLS}]}q, v_{[\text{SEP}]}, v_{q}, v_{q'}, v_{q''}.$$  

We add three separate linear layers on top of the $v_{[\text{CLS}]}$, each corresponding to a single similarity metric. We use regression loss and train the model to predict $\text{sim}_r(q, q')$, $\text{sim}_w(q, q')$, and $\text{sim}_s(q, q')$, respectively.

We define the pre-training loss as an aggregation of the losses of each individual objective ($\alpha$, $\beta$, $\gamma$ are hyper-parameters, we found equal weights to work best):

$$\ell_{\text{pre-training}} = \alpha \cdot \ell_{\text{sim}_r} + \beta \cdot \ell_{\text{sim}_w} + \gamma \cdot \ell_{\text{sim}_s}.$$  

**Fine-Tuning:** In order to rank the influencing facts, we further fine-tune the model to predict the Shapley value of a fact $f$ with respect to a query $q$ and an output tuple $t$. Given the tokenized representation of each element, $q = q_1, \ldots, q_k, t = t_1, \ldots, t_r$, and $f = f_1, \ldots, f_s$, we construct the input for the model:

$$[\text{CLS}], q_1, \ldots, q_k, [\text{SEP}], f_1, \ldots, f_s.$$  

We then add a linear layer on top of the contextual representation of the $[\text{CLS}]$ token, and further train the model using regression loss. In this stage, we use the Shapley values scaled by a magnitude of 1000 in order to avoid numeric issues.

## 4 TRAINING AND EVALUATION DATA

We train and evaluate LearnShapley using a dataset of SPJU queries over two databases, IMDB and Academic. For each triplet of query, output tuple and fact, DBShap also includes the exact Shapley value of the fact with respect to the query and output tuple, computed using [15]. The dataset, called DBShap, includes queries, query results and contributing facts (in our prior work the solution was demonstrated over queries from DBShap but not tested over it, and its description was correspondingly only partial). The data collection pipeline is detailed in Figure 6. For each pair of queries, DBShap also contains query similarity scores.
DBShap includes 293 unique queries, yielding a total of 1M output tuples, and 18M contributing facts. Following common practice, we randomly split the queries into train (70%), dev (10%) and test (20%). Each split includes the queries along with their results and contributing facts. DBShap is publicly available in [3].

Table 1: DBShap statistics

<table>
<thead>
<tr>
<th></th>
<th>Train</th>
<th>Dev</th>
<th>Test</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td># queries</td>
<td>137</td>
<td>20</td>
<td>40</td>
<td>197</td>
</tr>
<tr>
<td># results</td>
<td>923,688</td>
<td>7,085</td>
<td>39,018</td>
<td>969,791</td>
</tr>
<tr>
<td># facts</td>
<td>16,588,223</td>
<td>95,992</td>
<td>1,136,501</td>
<td>17,820,716</td>
</tr>
</tbody>
</table>

Table 2: Average query similarities in splits of DBShap

<table>
<thead>
<tr>
<th></th>
<th>Train-train</th>
<th>Train-dev</th>
<th>Train-test</th>
<th>All Query</th>
</tr>
</thead>
<tbody>
<tr>
<td>IMDB</td>
<td>Syntax-Based Similarity</td>
<td>0.144</td>
<td>0.140</td>
<td>0.146</td>
</tr>
<tr>
<td></td>
<td>Witness-Based Similarity</td>
<td>0.012</td>
<td>0.002</td>
<td>0.006</td>
</tr>
<tr>
<td></td>
<td>Rank-Based Similarity</td>
<td>0.179</td>
<td>0.185</td>
<td>0.171</td>
</tr>
</tbody>
</table>

DBShap Statistics: On average, the IMDB queries have around 5k results per query, and around 18 contributing facts per result. The Academic queries have an average of around 312 results per query and around 8 contributing facts per result. Both databases include some queries and output tuples with over 200 contributing facts. Queries, results and facts statistics are summarized in Table 1.

Table 2 summarizes statistics on the query similarity scores in DBShap. The table presents the average query similarity between different data splits, i.e. the average similarity of train queries with respect to train, dev and test queries, respectively. Figure 7 shows a heatmap representation of these similarity scores. The heatmaps demonstrate the notion of orthogonality between the different metrics, and show that each captures different characteristics of query similarities as different areas of the grid are activated.

Examples from DBShap: Figure 8 shows examples of queries, output tuples and a small subset of facts and corresponding Shapley values from DBShap. Query (a) over the Academic database outputs the domain names for which there are conferences that have publications published after 2010 by authors from University of California San Diego, with less than 100 publications and a total of more than 1000 citations. We focus on one of the query results, “Software Engineering”. Examples of contributing facts include a tuple for a particularly prolific author, and of relevant conferences in which papers were published (CAV and ISSRE). Query (b), over IMDB, outputs the names of people whose name start with the letter “B” and participated in the cast of movies produced by American production companies. Consider the output tuple representing the actress Lita Baron. Baron participated in the movie “Dale Robertson”, which has only one release, by NBC. By comparison, the 1949 adventure film “Bomba on Panther Island” featuring Baron has many releases over the years, only one of which was by the Warner Home Video production company. The Shapley values of NBC and Warner Home Video with respect to the query and output tuple (shown in Figure 8) reflect this: NBC has a greater contribution compared to Warner Home Video company for this output tuple.
Figure 8: Selected data samples from DBShap with a subset of influential facts

Table 3: LearnShapley results compared to Nearest Query baselines and LearnShapley ablations. Highest scores are marked in bold, second highest marked with underline.

<table>
<thead>
<tr>
<th>Database</th>
<th>Query</th>
<th>Output</th>
<th>Fact [Table]</th>
<th>Shapley Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Academic</td>
<td>SELECT domain.name</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FROM author, organization, writes, publication, conference, domain_conference, domain</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WHERE author.id = organization.id</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AND writes.pid = publication.pid</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AND publication.pid = conference.pid</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AND conference.pid = domain_conference.pid</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AND domain_conference.pid = domain.pid</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AND author.paper_count &lt; 100</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AND author.citation_count &gt; 1000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AND organization.name = 'University of California San Diego'</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AND publication.year &gt; 2010</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GROUP BY domain.name;</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Software Engineering</td>
<td>(1542087, 1001, '<a href="http://w3c/jointworkshop/standardize/...1">http://w3c/jointworkshop/standardize/...1</a>: 10, 199208, William E Polder, 77, University of California San Diego, 49, <a href="http://coreweb.901.edu/">http://coreweb.901.edu/</a> [author])</td>
<td>0.957</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Software Engineering</td>
<td>(15109, 3228, 'International Symposium on Software Reliability Engineering', '<a href="http://www.isssre.org/">http://www.isssre.org/</a> 13, 2145, 16457, 9000 [conference]</td>
<td>0.918</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Software Engineering</td>
<td>(10, 21645, 0, 154546, 'The International Journal of Software Engineering &amp; Knowledge Engineering', 0, 0, 3, 'International Journal of Software Engineering &amp; Knowledge Engineering', 2012 [publication]</td>
<td>0.918</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Software Engineering</td>
<td>(1, 269, 0, 'CAV', '<a href="http://www.asiaccs.org/acl201">http://www.asiaccs.org/acl201</a>: 10010979-5-642-22121-1_L_2: 4, 0, 117497, 0, 'Using Rules for Software Verification', 2012 [publication]</td>
<td>0.902</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Software Engineering</td>
<td>(1, 267, 1, 'CAV', '<a href="http://www.asiaccs.org/acl201">http://www.asiaccs.org/acl201</a>: 10010979-5-642-22121-1_F_5: 0, 2, 2794, 175702, 14, 'Verifying Functional Programs Using Abstract Interpreters', 2012 [publication]</td>
<td>0.902</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

5 EXPERIMENTS

We have implemented LearnShapley in Python 3.7 using PyTorch [35] and the Transformers library [46]. All experiments were preformed on a Linux Ubuntu 18.04.5 LTS machine with 512G RAM, AMD EPYC 7302 16-Core Processor and two GeForce RTX 309 GPUs. The source code of our implementation is available in [4].

5.1 Compared Methods

The exact algorithm for Shapley value computation from [15] is used in an offline manner to compute DBShap, from which distinct subsets are used as training and test data for LearnShapley. This in particular means that we compare the ranking produced by LearnShapley to the gold ranking yielded by [15]. Note that in contrast to the alternative of using [15] for deployment, LearnShapley does not require detailed provenance for inference but rather only lineage. We also compare the performance of our system to another possible approach that learns from a query log but relies only on the lineage at inference time, namely that of Nearest Queries. Nearest Queries is based on the aggregation of Shapley values of contributing facts of the n nearest queries to the query in question, where the similarity metric and n, number of neighbors to consider are configurable. We implemented the Nearest Queries models in Python 3.7. We present variations of this model using syntax-based, witness based and rank-based similarities, using n = 3, which led to the best results. Note that Nearest Queries with rank-based similarity is only feasible in a controlled experiment, since computing rank based similarity requires exact Shapley values of all contributing facts. 

5.2 Evaluation Metrics

We evaluate the predicted rank over the set of test queries over each database in DBShap. Given a database D, query q, an output tuple f, and a set of facts Lineage(D, q, f), we wish to rank the fact according to their contribution. To this end, we first obtain the predicted Shapley value of every fact f ∈ Lineage(D, q, f) we then rank the facts according to the predicted Shapley values in order to produce the predicted rank. We evaluate the predicted rank with respect to the gold rank using two metrics:
5.3 Main Experimental Results

Table 3 shows the NDCG@10, p@1, p@3 and p@5 scores of LearnShapley over both databases in DBShap. We trained two variations of LearnShapley: LearnShapley-large which utilizes BERT-base and BERT-large, respectively. After the pre-training stage, we chose the pre-training checkpoint with the lowest MSE score on the dev set. After fine-tuning, we chose the checkpoint with the highest NDCG@10 score over the dev set. Both versions of LearnShapley significantly outperform the baselines across all metrics. LearnShapley-base demonstrates 0.7 (Academic) and 0.3 (IMDB) points improvement compared to baseline models. In comparison, previous work which uses detailed boolean provenance at deployment to rank database facts which was tested on a slightly different set of queries over IMDB, was able to achieve near-perfect NDCG and p@5 scores [15]. While our results are slightly lower, they are achieved when given only the lineage at deployment time rather than the boolean provenance. On the Academic database, LearnShapley-base achieves 0.8-0.9 points improvement on p@1, p@3 and p@5, respectively. On IMDB, we observe slightly smaller improvements: 0.4-0.5 points improvements in p@1, p@3 and p@5 scores, since the performance of baseline methods is much higher compared to Academic. We conjecture that this is caused by different characteristics of the query log used for training: Nearest Queries models rely more heavily on the query log having very similar queries to the query of interest at inference time, while LearnShapley’s architecture allows it to generalize better. These results demonstrate that LearnShapley better captures the importance and contribution of facts and better generalizes to unseen query-output tuple pairs. LearnShapley-large achieves even better NDCG@10 scores with additional 0.004 points in both Academic and IMDB, and 0.003-0.007 additional p@k points compared to LearnShapley-base.

5.4 Analysis

Performance as a Function of Lineage Size: Figure 9a shows the relationship between the size of the lineage of queries and output tuples from the Academic database and the performance of LearnShapley-base in terms of NDCG@10. Each data point represents a query and output tuple, where output tuples of the same query have the same color. The dotted black line is the linear trendline. LearnShapley shows an improvement in NDCG@10 scores as the number of facts in the lineage decreases. Nevertheless, LearnShapley achieves high NDCG@10 scores even for queries and output tuples with the largest size lineage in the Academic test set in DBShap, with 165 contributing facts.

Performance as a Function of Query Similarity: The pre-training objective aims to help the model identify similar queries and learn signals from these queries. Thus, we analyze the similarity scores of nearest queries vs. the NDCG@10 score for query and output tuple pair. Each data point in Figure 10 represents a query and output tuple pair, (q, t). The x axis is the similarity score of q and (top) the single nearest query or (bottom) the average of 5 nearest queries with respect to syntax-based, witness-based and rank-based similarities. The y axis is the NDCG@10 score of the ranking of contributing facts with respect to (q, t) obtained by running LearnShapley-base. When taking the average of 5 nearest queries we see a positive correlation with respect to all similarity metrics, which is not the case when comparing to the single most similar query. This is an indication of the model’s ability to generalize and aggregate signals from several queries in the training data in order to make predictions at test time.

Figure 9: Performance (NDCG@10) of LearnShapley on query-output tuple pairs from the Academic database, as a function of (a) the number of contributing fact in the lineage and (b) the number of tables joined by the query. Each point represents a query and output tuple, where output tuples of the same query have the same color. Darker colors represent multiple points in the same location.

Performance as a Function of the Query Complexity: Figure 9b shows the model’s performance for varying number of tables joined in the query, which is commonly used as a measure of the query complexity. Interestingly, we have observed no significant correlation between the query complexity and the model performance. Also, we observe that the model achieves very high scores even for some very complex queries joining 9 and 10 tables.

5.5 Ablation Study

Language Models: A key component of LearnShapley is the use of a language model in order to learn complex signals of queries and databases semantics. A natural question is whether the use of BERT is necessary, or maybe smaller models will still be able to learn signals and apply them to new data. Table 3 shows the performance of a transformer encoder with 3 layers and 8 attention heads compared to the performance of LearnShapley. The transformer encoder was randomly initialized and then trained for 50 epochs using the same data used during the fine-tuning stage of LearnShapley. As in LearnShapley, we chose the checkpoint with the highest NDCG@10 score on the dev set. On Academic, the transformer model shows a drop of 0.2 in NDCG@10 compared to LearnShapley-base, and 0.01, 0.032 and 0.003 points drop in p@1, p@3 and p@5. On IMDB, we observe
and witness-based. When pre-training on two of these similarity metrics we observe a slight drop in performance - an average of 0.05 drop in NDCG@10 and 0.01 drop in p@1. Removing the witness-based similarity metric has the most effect in terms of NDCG@10, resulting in a 0.07 drop. This is demonstrated again in the case of single similarity metrics - a model trained on witness similarity alone achieve the highest scores out of all single-metric models when measuring both NDCG@10 and p@k. This is somewhat surprising, since witness similarity provides the most sparse signal to the model out of all metrics, meaning most of the witness similarity scores are 0. This demonstrates the precision/recall trade-off of witness similarity. In the few cases where witness similarity is high, it is a strong indication that the semantics of the queries are similar and the queries likely share computational information.

**Table 4:** Performance of LearnShapley-base with different combinations of similarity metrics used for pre-training.

<table>
<thead>
<tr>
<th>Combination</th>
<th>NDCG@10</th>
<th>p@1</th>
<th>p@3</th>
<th>p@5</th>
</tr>
</thead>
<tbody>
<tr>
<td>witness &amp; rank (w/o syntax)</td>
<td>0.968</td>
<td>0.958</td>
<td>0.900</td>
<td>0.873</td>
</tr>
<tr>
<td>syntax &amp; rank (w/o witness)</td>
<td>0.960</td>
<td>0.945</td>
<td>0.889</td>
<td>0.861</td>
</tr>
<tr>
<td>witness &amp; syntax (w/o rank)</td>
<td>0.959</td>
<td>0.957</td>
<td>0.881</td>
<td>0.867</td>
</tr>
<tr>
<td>syntax (w/o witness &amp; rank)</td>
<td>0.963</td>
<td>0.939</td>
<td>0.900</td>
<td>0.854</td>
</tr>
<tr>
<td>witness (w/o syntax &amp; rank)</td>
<td>0.934</td>
<td>0.873</td>
<td>0.865</td>
<td>0.861</td>
</tr>
<tr>
<td>rank (w/o witness &amp; syntax)</td>
<td>0.932</td>
<td>0.856</td>
<td>0.896</td>
<td>0.860</td>
</tr>
</tbody>
</table>

**Figure 10:** NDCG@10 of LearnShapley on query and output tuple pairs (q, t) with respect to maximum similarity score to q in DBShap (top) and the average similarity score of 5 most similar queries to q in DBShap (bottom), using syntax-based ((a), (d)), witness-based ((b), (e)) and rank-based ((c), (f)) similarity metrics. Each point represents a query and output tuple, where output tuples of the same query have the same color. Darker colors represent multiple points in the same location.

**Pre-Training:** Another natural question is whether or not the additional pre-training stage indeed helps LearnShapley to learn relevant signals. We test this property by directly fine-tuning BERT on the same data as in the fine-tuning stage of LearnShapley, and comparing the performance of both models. We fine-tune BERT-base for 10 epochs and choose the checkpoint with the highest NDCG@10 score on the dev set. Results are shown in Table 3, under Ablations. We can see that for both databases LearnShapley-base obtains higher scores across all metrics. Pre-training improves the model performance by 0.016 (Academic) and 0.004 (IMDB) points in terms of NDCG@10, and adds 0.001-0.037 points of p@k scores to the base version of the model.

**Similarity Metrics:** The similarity metrics used during pre-training represent different characteristics of query similarity. We train variations of LearnShapley-base on the Academic dataset, pre-trained on different subsets of similarity metrics, in order to study the effect of each metric combination on the model’s performance. Each model was pre-trained for 20 epochs, and fine-tuned for 10 epochs on the same data as LearnShapley. As in LearnShapley, after pre-training we chose the checkpoint with the lowest MSE score on the dev set. For fine-tuning, Ablation results are summarized in Table 4. While all metrics provide the model with helpful information, some metrics contribute more than others. As expected, the highest performance using all evaluation metrics is obtained when LearnShapley is pre-trained on all similarity metrics, i.e. rank-based, syntax-based...
5.6 Varying Query Log Sizes

The performance of LearnShapley heavily relies on the number of queries in the log and their density in terms of query similarity. We study the effect of the query log size by training variations of LearnShapley and Nearest Queries models on 10%, 25%, 50% and 75% of the original query log in DBShap. Each subset was randomly chosen, and contains all smaller subsets (i.e. the 25% experiment includes all queries used in the 10% experiment). Other than the difference in training data, the training of LearnShapley and Nearest Queries models is the same as in 5.3. Figure 11 summarizes the results. LearnShapley trained on only 10% and 25% percent of the query log still outperforms baseline methods that were trained on 100% of the queries. As expected, training on smaller subsets of the query log results in lower performance across all metrics and models examined, however LearnShapley is proving to be more robust and shows a smaller drop in performance compared to the naive Nearest Queries models.

![Figure 12: NDCG of partial rankings on the Academic test set: for each query and output tuple, we measure separately the NDCG of facts seen during training, and new facts, unseen during training.](image)

5.7 Ranking Unseen Facts

The input to LearnShapley includes only queries, their output and lineage rather than the entire database. This in particular means that at training time, LearnShapley only “sees” facts participating in the lineage of query results in the training set. Our experiments demonstrate the performance of LearnShapley in such scenarios. In the test set of DBShap, 37.75% of the facts that appear in the lineage of queries are new to the model in the sense that they did not appear in the lineage of queries in the training set. Furthermore, the experiments described in Section 5.6 demonstrates the performance of LearnShapley with increasing percentages of new, unseen facts. There, 75, 50, 25% log sizes correspond to 40.1%, 45% and 69% (respectively) of the facts in the test set were new to the model.

We analyze the performance of LearnShapley on seen and unseen facts from the Academic test set. Figure 12 demonstrates the partial NDCG scores of the rankings generated by LearnShapley, limited to the subset of either seen or unseen facts. As expected, LearnShapley achieves higher partial NDCG score on the subsets of facts that are seen by the model during training (10% improvement on average). While the overall scores of unseen facts are lower, Figure 12b shows that in many cases, LearnShapley is still able to preform well. Note that the NDCG scores in Figure 12b are evaluated on subsets of fact, thus the reported partial NDCG score are not comparable to the NDCG score of the complete set of facts, namely those shown in Figure 9. Table 5 shows an example of a test query, whose lineage contains new facts. LearnShapley is able to generalize and produces a correct ranking of the previously unseen fact, marked in bold. Note, in contrast, that the Nearest Queries approach predicts the score for each fact to be its average score for other (nearest) queries in the training set. This score is naturally 0 for every unseen fact. The baseline approach thus utilizes no signal on unseen facts, simply placing them below all seen facts and in arbitrary order.

![Figure 12: NDCG of partial rankings on the Academic test set: for each query and output tuple, we measure separately the NDCG of facts seen during training, and new facts, unseen during training.](image)

### Table 5: Prediction of LearnShapley for a query (top) and output tuple (first tuple in the table) with facts in the lineage unseen by the model during training (ranked 5, in bold). LearnShapley successfully predicts the rank of the previous unseen fact.

<table>
<thead>
<tr>
<th>Predicted Rank</th>
<th>True Rank</th>
<th>Tuple Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>[Michael G. Kallitsis, ...]</td>
</tr>
<tr>
<td>4</td>
<td>2</td>
<td>[University of Michigan, ...]</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
<td>[Network Decomposition, ...]</td>
</tr>
<tr>
<td>2</td>
<td>4</td>
<td>[IEEE SmartGridComm, ...]</td>
</tr>
<tr>
<td>5</td>
<td>5</td>
<td>[IEEE SmartGridComm, ...]</td>
</tr>
<tr>
<td>6</td>
<td>6</td>
<td>['A decentralized algorithm for optimal...']</td>
</tr>
</tbody>
</table>

5.8 Inference Times

We compare the inference time of LearnShapley to Nearest Queries models with syntax-based and witness-based similarities. Table 6 summarizes the maximum and average inference times for query and output tuple pairs from DBShap. The time complexity of k-NN algorithm is $O(nd)$ where $d$ is the time complexity of the distance function. In our implementation, the distance between a pair of queries $q_1$ and $q_2$ is equal to one minus their similarity score: $d(q_1, q_2) = 1 - sim(q_1, q_2)$. Thus, the time complexity and subsequent inference time of Nearest Queries model depends on the used similarity metric and the size of the query log. We see this reflected in the inference times in Table 6: witness-based similarity requires only set operations on existing data from DBShap, i.e. output tuple ids, while syntax-based similarity requires additional pre-processing in the form of decomposing the query.
into the different query operations. At inference time, LearnShapley requires forward passes through the model whose execution time only depends on the model size. Thus, the inference time of LearnShapley-base is slightly longer than witness-based Nearest Queries, but $5\times$ less than that of syntax-based Nearest Queries on average. While LearnShapley-large has the longest inference times on average, syntax-based Nearest Queries has the longest times in the worst case, with $30\times$ and $10\times$ longer inference times compared to LearnShapley-base and LearnShapley-large. We also compare the execution time of the state-of-the-art baseline for exact computation [15] to the execution time of LearnShapley at deployment. Observe that LearnShapley is much faster at deployment than exact Shapley value computation. In addition, the Shapley computation algorithm in [15] works on detailed why-provenance whereas LearnShapley only needs the lineage at deployment. Note that the results are aggregated (average/max) over pairs of a query and an output tuple: the overall effect of the speed-up exacerbates (in absolute terms of the overall execution time) if we repeat the process in order to explain all output tuples of a given query.

The effect of ablations. Most of the different ablations had no effect on the inference time since they did not affect the architecture. One ablation that did make a difference is the transformer encoder architecture, where we used a randomly initialized transformer encoder that is smaller in size, compared to BERT. This variation achieved lower quality scores across all of our metrics, and thus, for brevity, we do not include its execution time.

### 6 RELATED WORK

#### Query answering explanations and Shapley values:

There are two main types of models that exist for explaining database query results. The first are models that provide information about the provenance of output tuples, such as the facts involved in their calculation, and potentially the relationships between them, at different levels of detail (e.g. [8, 9, 11, 14, 20]). A major challenge in this area has been the complexity of provenance expressions, which makes it difficult to efficiently compute and store. As a result, the fields of provenance factorization and summarization have been studied as ways to compactly represent provenance (e.g. [7, 10, 25, 34, 36]). The second type are models that quantify the contributions of facts (e.g. [19, 29, 32, 33, 40]). This latter approach is the one that we follow in this paper.

Specifically, the works of [15, 30, 37] have studied in depth the use of Shapley values to quantify facts contribution in query answering. In [30, 37] the authors have laid the foundations for studying the problem, but had not focused on practically efficient algorithms for computing Shapley values; in fact, they have shown that exact computation is intractable for a large class of queries (namely, non-hierarchical ones). In [15], the authors introduce an exact method for computing Shapley values and a faster, but less reliable, inexact method for ranking facts based on Shapley values. Both methods utilize boolean provenance for relevant tuples. The exact method uses knowledge compilation [12], and is only applicable in cases where the boolean provenance can be compiled into a deterministic and decomposable circuit of a reasonable size. The inexact method, called CNF Proxy, starts from a non-factorized DNF representation of the provenance and applies Tseytin transformation [44] to obtain a CNF. Such non-factorized representations may not be readily available, and may be much larger than the lineage due to repetition of variables across clauses. In our work, we used the exact computation method proposed in [15] to calculate DBShap offline, which we then use as training data. However, during inference, our model relies solely on lineage, not the full provenance. Its fast inference time renders it suitable for real-time analysis.

#### Language models:

The use of pre-trained language models has gained significant attention in the field of natural language processing [18, 27]. These models have also been applied to the field of databases, with research proving their utility in tasks such as table understanding [13, 22, 47], column understanding [43], and text-to-SQL [28, 48].